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Electrical Tree Growth and Partial Discharge in Epoxy Resin Under Combined AC and DC Voltage Waveforms

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ABSTRACT
The effect of DC bias on electrical tree growth and partial discharge (PD) characteristics in epoxy resin (Araldite LY® 5052/Aradur® HY 5052 supplied by Huntsman) is investigated using three waveforms: AC, AC with positive DC bias, and AC with negative DC bias. Needle-plane samples are used. Tree growth is shown to be accelerated by the combined effect of DC and 50 Hz AC, beyond the AC growth rate. Positive and negative DC biased tests result in 62% and 54% reductions in average time to breakdown, respectively. Different tree structures and stages of development are associated with different partial discharge characteristics, with thick dark tree branches associated with high PD magnitudes, whereas fine tree channel growth is linked with PD magnitudes below 1 pC. AC tests showed five distinct stages of tree growth compared to four stages seen in DC biased tests. In particular, trees growing in the ‘reverse direction’ from the planar to the point electrode, which is observed in the latter stages of AC tests, is not seen in the DC biased tests. It is concluded that for composite voltages, the AC component is the essential driver of tree growth but the DC component can accelerate propagation. AC noise may therefore compromise the reliability of insulation in HVDC networks.

Index Terms — Epoxy resin, AC, DC, electrical treeing, space charge, composite waveforms.

1 INTRODUCTION
Electrical treeing is an important precursor to high voltage insulation failure. Electrical trees are gaseous channel defects of micrometre diameter which initiate from locations of high divergent electrical stress [1] and are associated with partial discharges [2]. Partial discharge (PD) behavior, has been shown to reflect tree growth characteristics, with new growth occurring at those parts of the tree in which the partial discharges are visible [3]. Energy associated with PDs has been linked to the rate of channel erosion and degraded volume of the insulation [4]. Phase-resolved PD patterns have also been related to growth characteristics. For example triangular and wing-like patterns are associated with long and narrow tree channels, typical of discharges in a branch type tree, whereas patterns described as turtle-like or rabbit-like are related to discharges in voids [2, 5]. The authors’ study of early stage tree growth [6] showed that PD patterns change with tree growth, and can be regarded as combinations of wing-like and turtle-like patterns. PDs associated with the wing-like pattern were considered responsible for the growth of tree length. Electrical treeing is field driven [7], with initiation times, propagation rate, tree shape, and final breakdown times all being influenced by factors such as frequency and magnitude of the applied waveform [8-9].

Electrical trees are generated readily under AC voltages [1] whereas under DC a relatively high voltage is required for initiation and propagation [10]. With rapid polarity reversal however, much lower voltages are required, as a result of field modification due to space charge at the metallic needle polymer interface [11]. Saito et al [11] investigated tree initiation in polyethylene, superimposing AC on DC voltages. It was shown that tree initiation required the same magnitude of AC component irrespective of the DC component. This observation is important in systems where mixed AC and DC voltages are present. An example of this is the case of a high voltage DC transmission system in which insulation will be stressed by mixed AC and DC voltages due to harmonics generated by power electronics in the...
converter [12]. Distorted waveforms (e.g. through harmonic pollution) have been shown to cause reduction of equipment lifetime due to accelerated aging of insulation [13]. Investigations using superimposed harmonic frequencies on the fundamental power frequency in epoxy resin also showed similar results, with the 7th harmonic shown to be most detrimental [14].

In high voltage AC systems harmonic distortion up to about 5% is tolerated for voltages below 69 kV, and 1.5-2.5% for higher voltages [15]. For HVDC systems, there is no equivalent specification for acceptable levels of harmonic distortion. With increased global dependence on HVDC systems, the impact of DC power quality on the reliability of insulation requires evaluation. If noise or harmonics can be allowed on DC systems without compromising insulation reliability, there will be a potential financial advantage. Alternatively the threat to insulation reliability presented by a loss of power quality needs advantage.

Thermoplastic and thermosetting polymers are used as insulation throughout HVDC systems, in equipment such as cables, terminations, joints, and converter transformers. In this paper, treeing with 50 Hz superimposed on positive and negative DC voltages is investigated in epoxy resin. Results show accelerated tree growth and reduction in lifetime in DC biased tests.

2 EXPERIMENTAL

2.1 TEST SAMPLES

Epoxy resin (LY/HY 5052) samples with embedded Ogura needles were fabricated in the classical point-to-plane fashion. The 'plane' surfaces of the samples were coated with aluminum by vacuum evaporation. The needle tip radius was 3 μm, and needle tip to plane electrode separation was 2 mm. Details of sample preparation are given in [16].

2.2 TEST CIRCUIT AND SET-UP

Figure 1 shows a schematic of the experimental set-up. Tree images were recorded every minute using a monochrome CCD camera (Manta G-504B) with a C-mount telecentric lens; giving a resolution of 2452 x 2056 pixels, representing a 6 mm x 5 mm image. The voltage source was an HV amplifier driven by an arbitrary waveform generator (AWG-PCI 5421) integrated in a PC from which both the amplifier and the image grabbing are controlled.

A balanced circuit was implemented for PD measurement [17] using Omicron’s digital detector (MPD 600) in accordance with IEC 60270 [18]. The circuit included a PD-free sample fabricated with a blunt needle. The sensitivity of the system was 0.35 pC. Tests were at room temperature with samples immersed in silicone oil to prevent surface discharges.

2.3 TEST PROCEDURE

Two groups of tests were carried out using 40 samples. The first set of 10 samples were used to determine the 50 Hz voltage magnitude which, when superimposed on DC, resulted in tree growth. The remaining 30 samples were used in the second group of tests, in which ±15 kV DC was combined with 15 kV AC as composite waveform. Previously it was determined that the use of pure 30 kV DC does not result in tree initiation [16, 19]. However combined 15 kV AC and +15 kV DC was found always to cause tree initiation in the first group, and so was used in the second test.

Table 1 shows the AC and DC magnitudes used in the first group of tests. The AC component is increased while the DC component is decreased so that the peak value of the combined voltage is always 30 kV. The composite waveforms were automatically applied sequentially for 5 minutes each in the order shown in Table 1, to one of the 10 samples until a tree initiated. Once a tree was initiated the test was terminated.

![Figure 1. Schematic of experimental set-up for simultaneous imaging of electrical treeing and partial discharge measurement. Equipment above the blue line is housed in an interlocked HV cage.](image)

![Figure 2. Voltage waveforms used for testing: Va = AC at 15 kV peak, Vb = AC at 15 kV peak biased at +15 kV DC, Vc = AC at 15 kV peak biased at -15 kV DC.](image)
In the second experiment, all 30 samples had small trees of ~50 µm length initiated using an unbiased AC voltage between 12-16 kVrms. This eliminated variability of initiation seen with DC-dominated tests, and allowed tree growth and breakdown times to be directly compared. This method is described in detail in [10]. These samples, containing small initial trees, were subsequently randomly divided into three groups of 10 samples. The samples from each group were then stressed with waveforms shown in Figure 2 and identified as:

- **Group a**: AC test at 15 kV pk
- **Group b**: Positive DC biased AC (+15 kV DC + 15 kV AC)
- **Group c**: Negative DC biased AC (-15 kV DC + 15 kV AC)

The samples were stressed continuously until either breakdown occurred or, if high levels of damage were seen in the sample without a short circuit, the test was terminated.

### 3 TREE INITIATION

In the geometry used, DC voltages of 60 or 70 kV are required for tree inception if the power quality is high [10]. Thus as expected, at 30 kV DC, when the AC component was zero, trees did not initiate. The earliest sample to initiate did so at 10.2 kV AC + 19.8 kV DC (running step 6 in Table 1). By step 10, 50% of samples had initiated and the remaining 50% initiated during waveform number 11 with 15 kV AC + 15 kV DC. This, and other experience, led to the adoption of the 15 kV AC and DC voltage components in the second set of tests.

### 4 THE VISUAL ASPECT OF TREE GROWTH

Figure 3 shows typical tree shapes generated in the samples. These are classified into two types:

- **Forward tree growth** (FTG) in which the tree grows from the needle towards the planar electrode, leading to sample failure when the tree fully traverses the insulation. This is a classical tree growth characteristic which consists of an initiation stage, a propagation stage, and finally runaway leading to sample failure [1]. This is observed under both AC and AC ± DC tests as shown in Figure 3A1 and 3A2.
- **Reverse tree growth** (RTG) [20] occurs in some cases after extensive FTG. RTG is visually dominant over the previous FTG and grows from the planar ground electrode towards the needle before the test sample fails. RTG is observed in all 10 samples stressed with AC only, as shown in Figure 3B1.

During the FTG process, three distinct tree shapes were observed. Initially thick dark tree channels developed, having either a branch or bush-like tree shape, extending about 300 µm from the needle electrode as shown in Figure 3A1 and 3A2 respectively. This was followed by an array of fine tree channels extending from the initial dark trees spreading more widely and extending across the insulation. In some cases, on approaching the ground electrode, some of the existing fine tree channels became darkened and widened, leading to sample breakdown. This is shown in Figure 3B2, 3C2 (the image difference between 3A2 and 3B2) and 3D2. This kind of tree growth characteristic is termed a “bridging tree” by the authors and was observed in all 20 test samples stressed with superimposed AC and DC voltages [20]. Alternatively, on

### 4.1 TREE GROWTH RATE AND BREAKDOWN

Figure 4 shows normalized tree length as a function of time for three selected samples with similar breakdown times for AC, and superimposed AC and DC voltages. Each plot is representative of all 10 samples stressed with different voltages. For all 30 samples used in the second group, continuous growth in length and width was observed as shown in Figure 3A1 and 3A2. However in samples where the initial incepted tree structures are bush-like, slow growth rates were observed during the initial stages of propagation.

Figure 4 gives examples of the scenario where slow growth was observed in the first 15 minutes of voltage application. After that, samples stressed with superimposed AC and DC
voltage saw continuous growth up to about 75% of the needle-plane distance, leading to breakdown shortly afterwards. For samples stressed with pure AC, growth in length extended beyond 80% of the insulation thickness. After that, a period of slow growth was observed prior to the evolution of reverse trees when the fine tree tips became darkened. Breakdown eventually occurred through one of the branching reverse trees. Figure 4 shows similar growth rates throughout the entire process, even with different stresses.

Figure 5 shows the time to breakdown for all samples under the three voltage regimes. AC tests showed a wider distribution of breakdown times and had a longer average time to breakdown of 143 minutes, representing 7 failed samples. Tests under AC ± DC showed narrower distributions of breakdown times and had a longer average time to breakdown of 54 minutes and 66 minutes for positive and negative DC biased tests: reductions of 62% and 54% respectively. Statistical analysis (Student t-test) from AC ± DC tests shows that there is greater than 95% chance that the difference between polarities is significant. All samples failed within two hours during AC ± DC tests whereas under AC only, three samples did not breakdown after four hours. In the latter samples, the experiment was stopped due to substantial damage which turned the samples into semi-carbonized material. It is likely that the 10 MΩ ballast resistor influenced the survival of these samples by restricting the current.

5 PARTIAL DISCHARGE AND TREE GROWTH

5.1 COMMON FEATURES

Figure 6 shows partial discharge activity during tree growth in a sample between inception and breakdown over a 197 minute period. The first four stages in Figure 6 are representative of the general evolution of discharge activity during tree growth for tests under both AC and AC ± DC voltages. For AC superimposed on positive or negative DC, the evolution ends in Stage 4 while for only AC tests, it develops into Stage 5 in all samples. Each data point on the plot is the average value of apparent charge ($Q_{IE}$) over 1 minute. This was synchronized with the tree images as shown in Figure 6.

5.2 PURE AC STRESS

Stages 1 to 5 have been discussed by the authors previously [21]. Here, Stage 5 is further divided into 5.1 to 5.3 as shown in Figure 6. The example discussed is a typical discharge characteristic from an AC test. Plots for all tests examined can be obtained in [20] and are summarized as:

Stage 1: pre-initiation, prior to a visible tree. PDs were not
recorded at this stage as trees were pre-initiated under AC in all samples to eliminate variations in initiation times.  

**Stage 2:** growth of the initial dark tree. This stage lasted 48 minutes in the example of Figure 6. The average apparent charge during the first minute of tree growth was ~1.3 pC which decreased to ~0.4 pC and then increased to a maximum of ~35 pC in the 45th minute. It then declined to ~0.4 pC in the next 3 minutes entering the 3rd stage of tree growth.  

**Stage 3:** propagation of fine tree channels. This stage is marked by quiescent discharge activity during which the tree structures generated were filamentary. Throughout the growth of filamentary tree structures the measured magnitude of apparent charge was ~0.4 pC, as shown by both the PD plot and the corresponding tree image from Figure 3A3. This period lasted for about 18 minutes.  

**Stage 4:** darkening of fine tree channels. Discharge activity increased in magnitude up to about 1.0 pC. The periphery of the fine tree tips became darker, forming a visibly distinct region as they approached the ground electrode, as shown in Figure 3A3. This period lasted for about 18 minutes.  

**Stage 5:** reverse treeing. Reverse tree growth is marked by rising discharge activity up to ~350 pC in the period 5.1, then decreasing to a more steady discharge activity between ~0.4–0.6 pC in Stage 5.2. Finally, sporadic activity lasting about 48 minutes with values between ~1.3 pC and ~900 pC culminated in sample failure at the end of this stage, seen in region 5.2 of Figure 6. In the final stage, several branches reached the HV electrode but breakdown did not occur immediately. 30% of the samples withstood the voltage for several hours although the entire sample looked severely degraded. This may be because the 10 MΩ resistor limited the current and thermal damage in the sample.  

**5.3 Superimposed AC and DC Voltages**  

Figure 7 shows PRPD patterns with corresponding tree images in the first 3 minutes of the reverse treeing process. In the first minute, PD activity occurs in the phase ranges -90° to 110° and 160° to 285° with magnitudes up to 1.2 pC. A wing-like PRPD pattern develops at the end of the minute and PD magnitudes increase to about 3 pC as shown in Figure 7a. During this period, the tree circled red in Figure 7a evolved. In the second and third minutes, the wing-like PRPD patterns evolved with rising PD magnitudes of hundreds of pC, as shown in Figure 7b and 7c. During this stage, the tree grew in the ‘reverse’ direction. It can be seen in Figure 7b and 7c that a wing-like PRPD pattern is dominant when the reverse tree is growing in length.  

At the tree ‘stagnation’ stage, seen in region 5.2 of Figure 6, three distinct roots from which reverse trees initiated can be clearly seen. However, further growth or changes to the tree structure was not observed during this 22 minute period. Figure 8 shows the PRPD patterns and the tree images captured at the beginning, middle and the end of this period. Figure 8a and 8c show that very few discharges were recorded signifying the cessation of tree growth. But in Figure 8b, turtle-like and wing-like patterns were observed, indicating that part of the existing tree channels widened and or extended, though not visible from the focused plane images of Figure 8a, 8b, and 8c.  

Stage 5.3 is the final stage of the treeing process. Here erratic discharges up to about 1000 pC were measured. This period lasted for 48 minutes, during which massive damage to the sample occurred before the final breakdown as shown in last image of Figure 6. In the final stage, several branches reached the HV electrode but breakdown did not occur immediately. 30% of the samples withstood the voltage for several hours although the entire sample looked severely degraded. This may be because the 10 MΩ resistor limited the current and thermal damage in the sample.  

Figure 7 shows PD characteristics for two samples stressed with superimposed AC and DC voltages. For all samples, only the first four of the stages described in Figure 6 were observed. As described in the pure AC case, the apparent PD charge values for Stage 2 vary from 3 pC to 30 pC. In Stage 3,
associated with filamentary tree growth, PD were typically about 0.4 pC, close to the sensitivity of the measuring system. In Stage 4, PD magnitudes of about 0.4 pC to 1.5 pC were measured for positive and negative biased tests respectively.

Figure 10 shows typical PRPD patterns and the corresponding tree images at stages 2 and 4 from 8 to 9 minutes and from 55 to 56 minute for positive biased test in a particular sample. Generally the magnitudes of the apparent charge values in Stage 2 were higher than those in Stage 4 indicating different growth activity in these stages. As can be seen from Figure 10a and 10a1, a wing-like PRPD pattern was observed when the initial dark tree channels (circled in red) grew from the pre-incepted initial tree. Afterwards, fine tree channels were propagated in the entire body of the sample with low magnitudes of ~0.4 pC or below. The PRPD pattern shown in Figure 10b is a typical example where discharges were below the sensitivity of the PD measuring system even though fine tree channels were propagating. Eventually, a tree channel became darkened with increasing PD magnitudes to ~1.5 pC as highlighted in Figure 10c and 10c1.

In all 20 samples, dark tree channels extended for limited distances from the initial trees at the needle in Stage 2. This was followed by arrays of fine tree channels in Stage 3 with smaller values of PD, as shown in the examples of Figure 10. In Stage 4, a few of the fine tree branches eventually darkened on approaching or touching the ground electrode with PD magnitudes increasing up to ~1.5 pC. This then led to breakdown in all samples. Trees propagate faster under composite voltages than under pure AC, resulting in reduced breakdown times in all cases.

6 DISCUSSION
6.1 TREE INITIATION WITH COMPOSITE WAVEFORMS

Steady state ±30 kV DC does not initiate electrical trees in these samples in the periods studied (>10 hours). However, with composite waveforms, if the component of power frequency voltage exceeds 10 kV, tree initiation occurs in minutes. Saito et al showed that regardless of the total voltage on the needle electrode in polyethylene, a tree is initiated only when a minimum level of about 4.7 to 5 kV AC component is present [11]. The authors have reported in [10] that trees only initiate and propagate under higher pure DC voltages of 60 to 70 kV. Strong polarity dependence was observed, and it was suggested that space charge plays a significant role in tree initiation, with 70% of the samples propagating to failure under positive DC whereas under negative DC very little or no propagation was observed [10]. The authors have shown that increasing the frequency of the voltage accelerates every stage of the treeing processes including tree inception, tree propagation and time to failure [9].

6.2 TREE PROPAGATION UNDER AC AND COMBINED AC AND DC VOLTAGES

Figure 3 shows the visual aspect of tree growth which clearly demonstrates the differences in tree growth characteristics for pure AC and combined AC and DC voltages. Although the peak-to-peak voltage used to stress each group of samples was 30 kV as shown in Figure 2, the effective RMS values for AC and biased DC with AC voltages will be different. In addition, the energy gained by the injected electrons/holes from the point electrode within the insulation will be different on the application of each voltage type, and depends on the local field which comprises the point-on-wave Laplacian field and the Poisson field generated by space charge. Space charge will invariably reduce the field in a pure DC situation. For the AC case, Maxwell compression forces and/or fatigue leading to local breakdown and subsequent partial discharges may occur, due to repeated charge injection and extraction at every half cycle. For the combined AC and DC case, a combination of injected charge avalanches in one direction coupled with Maxwell stress can be considered. The scenarios in these two cases can be viewed as analogous to damage being accumulated in concrete using a drilling machine with ‘rotary’, and ‘rotary plus hammer’ settings. The analogy of the ‘rotary’ action having little effect on its own, just as the DC component only has little impact, whereas ‘rotary plus hammer’ is analogous to the combined AC and DC components of the applied voltage, where the addition of the (AC) hammering allows the rotary motion (DC) to rapidly erode the material. Figure 5 shows that adding DC voltage of either polarity to an AC signal reduces time to breakdown. The
positive biased voltage had more impact, leading to 62% reduction of insulation lifetime compared with 54% reduction for the negative biased test compared with the AC tests. In [10], the authors have shown that for pure DC, voltage polarity has profound effect on tree propagation. This is due to the difference in charge injection under positive and negative polarity. In this study, it is shown that the AC component is the essential driver of tree growth and that the DC component accelerates tree propagation.

6.3 PARTIAL DISCHARGES UNDER AC AND COMBINED AC AND DC VOLTAGES

It can be observed that the PD behavior in Stages 2 to 4 are common to both AC and combined AC and DC voltages, as shown in Figure 6 and Figure 9 respectively. A complex behavior was seen under AC in Stage 5. The differences in the trend and magnitudes of the recorded apparent charges corresponded to the differences in the shapes of tree structures. For example, Stages 2 and 5.3 of Figure 6 show sporadic and higher discharge magnitudes when a dark branch-like tree structures developed at the beginning and at the end of the life cycle of the tree. However, when the fine tree tips darkened or the tree stagnated or the channels widened/darkened, moderate discharge magnitudes were observed as shown in Stages 4 and 5.2 of Figure 6, and Stage 4 of Figure 9. Although the trees accelerated under combined AC and DC leading to shorter breakdown times, neither PD magnitudes nor PRPD patterns were markedly different.

Previously, DC voltages did not show any detectable discharges [22], and it was concluded that there was no evidence for a direct relation between PD and breakdown. From the results presented here, it is shown that irrespective of the voltage type (AC or AC±DC), wing-like PRPD patterns with high magnitudes of PDs were related to development of dark tree channels growing in length, either in the forward direction (Figure 10a and 10a1) or in the reverse direction (Figure 7). But turtle-like PRPD patterns or clustering of PDs with lower discharge magnitudes were observed only when tree channels are widening or the tree tips are darkening, (Figure 10c and 10c1). Different discharge characteristics responded to different tree developments and the fine tree channels propagated only when discharge magnitudes of ~ 0.4 pC or lower were recorded. The PD response during tree growth is similar to the observation by Chen et al [23]. When very low PD magnitudes were recorded, dark tree branches stopped growing, and only short spiky tree branches were observed growing along the main channel of tree branches. In this study, fine tree channels grew continuously, and dark tree branches stopped growing, conditions which can be differentiated by PD characteristics.

In Stage 4 rising PD magnitudes were observed (see Figures 6 and 9) when the fine tree channels approached the counter electrode. Those from AC tests formed dense and dark bush-like structure at the periphery, while those from AC ± DC tests developed long visible widened dark channels, as shown in the images of Figure 3A, and 3B respectively.

Two areas at different stages experienced high electric fields: Firstly at the vicinity of the point electrode, rising PD magnitudes were observed due to channel degradation and erosion, leading to the dark tree extensions of tree seen in Stage 2, as shown in Figure 6 and Figure 10a respectively. Secondly, when the tree channels approached the ground electrode, again the electric field intensified, due to an effectively reduced insulation gap resulting in rising PD magnitudes, as shown in Figure 4 of Figure 6 and Figure 10c. Here the electric field near the needle electrode drops, while the electric field at the tree tips intensifies [7-8]. At this stage, tree tips darkened in all samples under AC tests, after which reverse trees emanated with increased discharge activity leading to sample breakdown. For AC ± DC tests, long and widened dark channels were observed in all samples with increasing PD activity leading to sample breakdown.

7 CONCLUSIONS

This study shows that electrical tree growth is accelerated by the combined effect of DC and power frequency AC. This suggests that mixed AC and DC will be more detrimental to the reliability of insulation especially in DC networks. The following specific conclusions are drawn:

- In composite voltage situations, the AC component is the essential driver of tree growth and the DC component can accelerate propagation.
- Adding DC to AC stress reduced the breakdown times. Statistical analysis (Student t-test) shows that there is greater than 95% chance that the difference between the means of the polarities is significant, the positive having more impact.
- The impact of positive DC bias is more significant than negative DC bias. This is consistent with treeing under pure DC conditions in which positive DC is more aggressive than the negative DC polarity.
- Tree initiation using combined AC and DC voltages is driven by the magnitude of the AC component in the composite waveform.
- Different tree structures and stages of development generate different PD characteristics, with thick and dark tree branches resulting in magnitudes of 1pC to about 1 nC whereas fine tree channels resulted in PD magnitudes of 0.4 pC or less.

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