A two-dimensional depth-averaged $\mu(I)$-rheology for dense granular avalanches

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Steady uniform granular chute flows are common in industry and provide an important test case for new theoretical models. This paper introduces depth-integrated viscous terms into the momentum-balance equations by extending the recent depth-averaged $\mu(I)$-rheology for dense granular flows to two spatial dimensions, using the principle of material frame indifference or objectivity. Scaling the cross-slope coordinate on the width of the channel and the velocity on the one-dimensional steady uniform solution, we show that the steady two-dimensional downslope velocity profile is independent of scale. The only controlling parameters are the channel aspect ratio, the slope inclination angle and the frictional properties of the chute and the sidewalls. Solutions are constructed for both no-slip conditions and for a constant Coulomb friction at the walls. For narrow chutes, a pronounced parabolic-like depth-averaged downstream velocity profile develops. However, for very wide channels, the flow is almost uniform with narrow boundary layers close to the sidewalls. Both of these cases are in direct contrast to conventional inviscid avalanche models, which do not develop a cross-slope profile. Steady-state numerical solutions to the full three-dimensional $\mu(I)$-rheology are computed using the finite element method. It is shown that these solutions are also independent of scale. For sufficiently shallow channels, the depth-averaged velocity profile computed from the full solution is in excellent agreement with the results of the depth-averaged theory. The full downstream velocity can be reconstructed from the depth-averaged theory by assuming a Bagnold-like velocity profile with depth. For wide chutes, this is very close to the results of the full three-dimensional calculation. For experimental validation, a laser profilometer and balance are used to determine the relationship between the total mass flux in the chute and the flow thickness for a range of slope angles and channel widths, and particle image velocimetry (PIV) is used to record the corresponding surface velocity profiles. The measured values are in good quantitative agreement with reconstructed solutions to the new depth-averaged theory.

Key words: complex fluids, granular media, rheology

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1. Introduction

Steady granular chute flows, where a mass of material flowing down an inclined plane is laterally confined between two rigid vertical plates, are common in industrial set-ups and are interesting to study in their own right. The relative simplicity of the geometry also makes it an ideal test case for validating new theoretical models. An early attempt at describing these flows was carried out in GDR MiDi (2004), where they collated experimental data and discrete element simulations of vertical velocity profiles and basal friction curves. The two-dimensional (downslope and normal) results were used to propose a simple local rheology, where the effective-friction coefficient was a function of non-dimensional inertial number $I$ (da Cruz et al. 2005; Jop, Forterre & Pouliquen 2005). This number is the square root of the Savage or Coulomb number (Savage 1984; Ancey, Coussot & Evesque 1999). Jop, Forterre & Pouliquen (2006) generalized this scalar rheology into a full tensor constitutive law, referred to as the $\mu(I)$-rheology.

These governing equations allowed the chute flow configuration to be solved for the downstream velocity profile in a two-dimensional cross section (see e.g. Pouliquen et al. 2006). The full internal dynamics may also be recovered from discrete element simulations, for example Zhou & Sun (2013) and Brodu, Richard & Delannay (2013). The question to be addressed is whether simpler, less computationally expensive models are able to accurately capture the full flow field for steady chute flows. Such models should account for the chute walls since these have been shown to significantly alter the flow dynamics, changing the shape and magnitude of velocity profiles (Jop et al. 2005), as well as allowing material to flow steadily at unusually steep slope angles (Taberlet et al. 2003).

Classical depth-averaged avalanche models, which do not include any higher-order viscous terms, (e.g. Gray, Tai & Noelle 2003) are able to accurately describe many granular flow configurations, such as a front propagating down a slope (Pouliquen 1999b), flow over complex basal topographies (Gray, Wieland & Hutter 1999) and past obstacles (Cui, Gray & Johannesson 2007; Gray & Cui 2007; Cui & Gray 2013). However, they cannot model the velocity profile across a channel with sidewall friction, because the leading order depth-averaged downstream momentum balance does not contain any cross-slope gradients of the depth-averaged downstream velocity. As a result, the leading-order mass and momentum-balance equations admit solutions that are independent of the chute width and wall friction. Several authors (e.g. Greve & Hutter 1993; Taberlet et al. 2003) have attempted to address this issue by including wall-friction effects in depth- and width-averaged models, assuming Coulomb slip at the sidewalls. The resulting equations have an additional term in the effective basal friction coefficient that is proportional to the channel aspect ratio. Jop et al. (2005) were able to use the $\mu(I)$-rheology to derive a width-averaged model for the velocity dependence in the vertical direction and verify several scaling laws with experimental data. Despite these successes, details of the transverse shape of the velocity profile are lost during the width-averaging process. In order to retain this information, additional physics needs to be incorporated into non-width-averaged models.

For steady uniform flow GDR MiDi (2004) showed that the $\mu(I)$-rheology was able to predict the lithostatic pressure distribution, as well as the Bagnold velocity profile that scales with the flow thickness to the power $3/2$. Gray & Edwards (2014) used these solutions to derive a one-dimensional depth-averaged $\mu(I)$-rheology by including the gradient of the depth-averaged in-plane deviatoric stress in the downstream momentum-balance equation. At leading order, the depth-averaged equations reduce to those of a standard inviscid avalanche model with the $\mu(I)$-rheology giving rise
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to an effective basal friction that is equivalent to the dynamic friction law for rough beds (Pouliquen 1999a; Pouliquen & Forterre 2002). An additional viscous term enters as a singular perturbation to the system and for most problems it is sufficiently small that it can be neglected. Various formulations are possible, because the leading-order relationship between the depth-averaged Bagnold velocity and the thickness allows the structure of the viscous term to be changed. The form that Gray & Edwards (2014) selected is the only viscous law that does not contain singularities (in the range of angles where steady uniform flows develop) and has a coefficient of viscosity that degenerates at zero thickness. Strong evidence for this formulation is provided by the fact that it was able to match the measured cutoff frequency of roll-wave instabilities (Forterre & Pouliquen 2003; Forterre 2006) without the need for any fitting parameters. In addition, Edwards & Gray (2015) showed that this term plays a crucial role in the formation of steadily travelling erosion–deposition waves on erodible beds. Some caution is required, however, as the depth-averaged viscosity is negative, and the equations are ill-posed, for inclination angles outside the range where steady uniform flows are able to develop. This is a reflection of the fact that the underlying $\mu(I)$-rheology is ill-posed at high and low inertial numbers (Barker et al. 2015). The results are nevertheless sufficiently promising that this paper generalizes the depth-averaged $\mu(I)$-rheology of Gray & Edwards (2014) to two spatial dimensions.

2. Governing equations

Consider a mass of granular material flowing down a chute inclined at an angle $\zeta$ to the horizontal as shown in figure 1. Let $Oxyz$ be a Cartesian coordinate system with the $x$-axis aligned with the downslope direction, the $y$-axis aligned with the cross-slope direction and the $z$-axis normal to the chute surface. The velocity $u$ has components $(u, v, w)$ in the $(x, y, z)$ directions, respectively. Assuming a rigid flat base, the free surface lies at $z = h$, where $h$ is the depth of the flow. The depth-averaged velocity, $\bar{u} = (\bar{u}, \bar{v})$, is defined as

$$\bar{u} = \frac{1}{h} \int_0^h u \, dz, \quad \bar{v} = \frac{1}{h} \int_0^h v \, dz. \quad (2.1a,b)$$

The depth-averaged mass and momentum balances, including the depth-averaged in-plane deviatoric stress gradients $\bar{\tau}$, are

$$\frac{\partial h}{\partial t} + \nabla \cdot (h\bar{u}) = 0, \quad (2.2)$$

$$\frac{\partial (h\bar{u})}{\partial t} + \nabla \cdot (\chi h\bar{u} \otimes \bar{u}) + \nabla \left( \frac{1}{2} gh^2 \cos \zeta \right) = ghS + \frac{1}{\rho} \nabla \cdot (h\bar{\tau}), \quad (2.3)$$

where $g$ is the constant of gravitational acceleration, $\rho$ is the constant bulk density of the granular material, $\nabla = (\partial/\partial x, \partial/\partial y)$ is the two-dimensional gradient operator in $(x, y)$ space, ‘$\cdot$’ is the dot product and $\otimes$ the dyadic product. The shape factor $\chi$ accounts for the difference between the products of depth-averaged velocities and depth-averaged products of velocities, via the relation $\bar{u}^2 = \chi \bar{u}^2$. The value of $\chi$

depends on the vertical velocity profile, with $\chi = 5/4$ for a Bagnold profile and $\chi = 1$ if the profile is independent of $z$. Most avalanche models (e.g. Grigorian, Eglit & Iakimov 1967; Savage & Hutter 1989; Gray et al. 1999; Pouliquen 1999b;
Pouliquen & Forterre 2002; Gray et al. 2003) assume, as is done here, that $\chi = 1$ (although this is formally inconsistent with including other effects of a sheared velocity profile), because non-unity values can lead to problems when handling grain-free regions (Hogg & Pritchard 2004). The source term $S = (S_x, S_y)$ is due to gravity and effective basal friction (see e.g. Gray et al. 2003),

$$S_x = \cos \zeta \left( \tan \zeta - \mu_b \frac{\bar{u}}{|\bar{u}|} \right), \quad S_y = \cos \zeta \left( -\mu_b \frac{\bar{v}}{|\bar{u}|} \right),$$

(2.4a,b)

where $|\bar{u}| = (\bar{u}^2 + \bar{v}^2)^{1/2}$ is the speed of the bulk flow. Gray & Edwards (2014) showed that, to first order, the only effect of the $\mu(I)$-rheology is to generate an effective basal friction coefficient $\mu_b$ that is equivalent to the dynamic friction law measured experimentally by Pouliquen & Forterre (2002), i.e.

$$\mu_b(h, Fr) = \mu_1 + \frac{\mu_2 - \mu_1}{\beta h/(\mathcal{L} Fr) + 1}, \quad Fr > \beta,$$

(2.5)

where the Froude number

$$Fr = \frac{|\bar{u}|}{\sqrt{gh \cos \zeta}},$$

(2.6)
\[
\begin{align*}
\zeta_1 &= 18.9^\circ, \quad \zeta_2 = 29.0^\circ, \quad \beta = 0.150 \\
d &= 100 \mu \text{m} \quad \Phi = 0.6 \quad \rho^* = 2550 \text{ kg m}^{-3} \quad I_0 = 0.0484 \\
\mathcal{L} &= 1 \times 10^{-3} \text{ m}
\end{align*}
\]

**Table 1.** Material parameters that will remain constant throughout this paper.

is the ratio of the magnitude of the depth-averaged speed to gravity-wave speed. The values \( \mu_1 = \tan \zeta_1 \) and \( \mu_2 = \tan \zeta_2 \) are constants, where angles \( \zeta_1 \) and \( \zeta_2 \) correspond to the minimum and the maximum slope angles for which steady uniform flows are observed. The length scale \( \mathcal{L} \) and dimensionless constant \( \beta \) are found empirically and may depend on both the granular material and bed composition. These values have been estimated for the experimental configuration used in this paper and are summarized in table 1, together with all the other parameters which are to be held constant throughout. Note that the empirical law of Pouliquen & Forterre (2002) has an extended form that switches for intermediate \((0 < Fr < \beta)\) and static \((Fr = 0)\) regimes. This plays an important role in the formation of erosion–deposition waves (Edwards & Gray 2015), but the \( \mu(I) \)-rheology is based on the simple law (2.5) with no switching, and so this paper will use (2.5) for all Froude numbers.

### 2.1. The \( \mu(I) \)-rheology and Bagnold velocity profile

The final expression on the right-hand side of the momentum equation (2.3) is the divergence of the depth-integrated deviatoric stress tensor. This term is usually very small and it is neglected in standard inviscid shallow-water-like avalanche models (see e.g. Gray et al. 2003). However, in more subtle problems, such as roll waves (Forterre & Pouliquen 2003; Forterre 2006; Gray & Edwards 2014; Razis et al. 2014), erosion–deposition waves (Edwards & Gray 2015) and fingering instabilities (Pouliquen, Delour & Savage 1997; Pouliquen & Vallance 1999; Woodhouse et al. 2012) it plays a critical role in obtaining the correct solution. The depth-averaged deviatoric stress tensor \( \bar{\tau} \) is defined by

\[
\bar{\tau} = \frac{1}{h} \int_0^h \tau \, dz,
\]

where \( \tau \) is the deviatoric component of the (three-dimensional) Cauchy stress tensor

\[
\sigma = -p I + \tau,
\]

\( p \) is the pressure and \( I \) is the \( 3 \times 3 \) identity matrix. The specific form of the deviatoric tensor comes from the \( \mu(I) \)-rheology for dense granular flows (GDR MiDi 2004; Jop et al. 2005, 2006). It is a pressure and strain-rate dependent law of the form

\[
\tau = \mu(I)p \frac{D}{\|D\|},
\]

where the strain rate, \( D \), is defined as

\[
D = \frac{1}{2}(L + L^T),
\]

the velocity gradient \( L = \text{grad} \, u \), and the superscript \( T \) is the transpose. The second invariant of the strain-rate tensor is

\[
\|D\| = \sqrt{\frac{1}{2} \text{tr} D^2}.
\]
The definitions (2.10) and (2.11) are equivalent to those used in Jop et al. (2006), with the factors of two arising from the standard choice of the strain-rate tensor. The non-dimensional inertial number $I$ is then

$$I = \frac{2\|\mathbf{D}\|d}{\sqrt{\rho/\rho^*}},$$

(2.12)

where $d$ is the particle diameter and $\rho^*$ is the intrinsic solid density of the grains. The function $\mu(I)$ is derived from the basal friction law (2.5) (Jop et al. 2005; Gray & Edwards 2014) and takes the form

$$\mu(I) = \mu_1 + \frac{\mu_2 - \mu_1}{I_0/I + 1},$$

(2.13)

where the constant $I_0$ is given by

$$I_0 = \frac{5\beta d}{2L\sqrt{\Phi}},$$

(2.14)

and $\Phi$ is the solids volume fraction.

In one spatial dimension, the constitutive law (2.8)–(2.14) may be solved for steady uniform flows (e.g. GDR MiDi 2004; Gray & Edwards 2014). In this configuration, mass balance is trivially satisfied since $v$ and $w$ are identically zero and the downslope velocity $u$ depends on $z$ only, so that $\|\mathbf{D}\| = |\partial u/\partial z|/2$. The normal component of the momentum balance implies that the pressure is lithostatic, i.e.

$$p = \rho g(h - z) \cos \zeta,$$

(2.15)

where $\rho = \rho^* \Phi$ is the density of the flow. The downslope momentum balance can also be integrated to show that the shear stress is

$$\tau_{xz} = \rho g(h - z) \sin \zeta.$$

(2.16)

Substituting (2.15) and (2.16) into the $xz$ component of the $\mu(I)$-rheology (2.9) implies that $\mu(I) = \tan \zeta$, provided $\partial u/\partial z$ is positive. Hence for a fixed slope angle the inertial number $I$ is equal to a constant $I_\zeta$, where

$$I_\zeta = I_0 \left( \frac{\tan \zeta - \mu_1}{\mu_2 - \tan \zeta} \right).$$

(2.17)

Since $I$ is constant, (2.12) can be solved for the downslope velocity

$$u = \frac{2I_\zeta}{3d} \sqrt{\Phi g \cos \zeta (h^{3/2} - (h - z)^{3/2})}.$$

(2.18)

This is known as the Bagnold velocity profile. Integrating (2.18) through the avalanche depth implies that the depth-averaged Bagnold velocity is

$$\bar{u} = \frac{2I_\zeta}{5d} \sqrt{\Phi g \cos \zeta \ h^{3/2}}.$$

(2.19)

2.2. The one-dimensional depth-averaged $\mu(I)$-rheology

It is useful to briefly summarize how Gray & Edwards (2014) derived their depth-averaged viscous term in one-spatial dimension. The $\mu(I)$-rheology implies that the
downslope in-plane deviatoric stress is

\[ \tau_{xx} = \mu(I_{\zeta}) \frac{D_{xx}}{\|D\|}. \]  

(2.20)

Using the shallowness of the avalanche, the in-plane strain rate was approximated by differentiating the Bagnold velocity profile (2.18) with respect to \( x \), assuming that the thickness \( h \) was now a slowly varying function of \( x \), i.e. that

\[ D_{xx} = \frac{\partial u}{\partial x} = \frac{I_{\zeta}}{d} \sqrt{\Phi g \cos \zeta (h^{1/2} - (h - z)^{1/2})} \frac{\partial h}{\partial x}. \]  

(2.21)

Similarly, to leading order, the second invariant of the strain-rate tensor could also be evaluated using the Bagnold velocity profile, i.e.

\[ \|D\| \approx \frac{1}{2} \frac{\partial u}{\partial z} = \frac{I_{\zeta}}{2d} \sqrt{\Phi g \cos \zeta (h - z)^{1/2}}. \]  

(2.22)

Substituting (2.15), (2.21) and (2.22) into (2.20) and using \( \mu(I_{\zeta}) = \tan \zeta \) implies that

\[ \tau_{xx} = 2 \rho g \sin \zeta (h^{1/2} (h - z)^{1/2} - (h - z)) \frac{\partial h}{\partial x}. \]  

(2.23)

Integrating this through the avalanche depth it follows that a leading-order approximation for the depth-averaged deviatoric in plane stress is

\[ \bar{h} \tau_{xx} = \frac{1}{3} \rho g \sin \zeta h^2 \frac{\partial h}{\partial x}. \]  

(2.24)

A variety of depth-averaged models are possible at this order, because the relationship between the depth-averaged Bagnold velocity and the thickness (2.19) can be used to reformulate (2.24) into a more conventional depth-averaged viscous law. For instance, from (2.19) it follows that the thickness gradient is

\[ \frac{\partial h}{\partial x} = \frac{5d}{3I_{\zeta} \sqrt{\Phi g \cos \zeta}} \frac{1}{h^{1/2}} \frac{\partial \bar{u}}{\partial x}, \]  

(2.25)

and then (2.24) becomes

\[ \bar{h} \tau_{xx} = \rho \nu h^{3/2} \frac{\partial \bar{u}}{\partial x}, \]  

(2.26)

where the definitions of \( I_0 \) and \( I_{\zeta} \), (2.14) and (2.17), imply that the coefficient \( \nu \) in the depth-averaged viscosity can be expressed as

\[ \nu = \frac{2L \sqrt{\beta}}{9 \beta} \frac{\sin \zeta}{\sqrt{\cos \zeta}} \left( \frac{\mu_2 - \tan \zeta}{\tan \zeta - \mu_1} \right). \]  

(2.27)

Note that the density \( \rho \) in (2.26) cancels out in the momentum balance (2.3) to produce a viscous term with a second-order gradient of the depth-averaged velocity. This particular formulation is the only one in the current framework which introduces such gradients without also introducing singularities in \( h \) or \( \bar{u} \) for inclination angles in the range of steady uniform flow, i.e. \( \zeta \in (\zeta_1, \zeta_2) \). It has a coefficient of viscosity \( \nu h^{3/2} / 2 \) that automatically degenerates when the avalanche thickness equals zero,
which is another desirable property of depth-averaged viscous laws. Gray & Edwards (2014) showed that this formulation did not change the shape of a granular front as it propagates down a rough inclined plane (Pouliquen 1999b) and that it was able to match the experimental cutoff frequency of roll waves (Forterre & Pouliquen 2003; Fortherre 2006) without the need for any fitting parameters. This is a strong indication that the decreasing viscosity with increasing inclination angle in (2.27) is correct, at least in the range of steady uniform flow. Edwards & Gray (2015) have shown that this term also plays a crucial role in the formation of steadily travelling erosion–deposition waves on erodible beds, which have regions of completely stationary grains. It should be noted, however, that outside the regime in which steady uniform flow is possible the coefficient \( \nu \) is negative and the theory is ill-posed. This is a signature of the ill-posedness of the full \( \mu(I) \)-rheology at high and low inertial numbers (Barker et al. 2015). For flows outside the range where steady uniform flows develop (i.e. \( \zeta \not\in (\zeta_1, \zeta_2) \)), some form of regularization must be applied to ensure the depth-averaged system remains well-posed.

3. Generalization to tensor form

The success of the depth-averaged \( \mu(I) \)-rheology of Gray & Edwards (2014) in modelling one-dimensional problems suggests using a similar formulation for two dimensions. Motivated by (2.26), the expression

\[
h\bar{T} = \rho \nu \frac{h^3}{2} \bar{D},
\]

is chosen as the generalization to a two-dimensional tensor relation, where

\[
\bar{D} = \frac{1}{2} (\bar{L} + \bar{L}^T),
\]

is the depth-integrated strain-rate tensor and \( \bar{L} = \nabla \bar{u} \) is the two-dimensional gradient of the depth-averaged velocity. This constitutive law is both consistent with the one-dimensional depth-averaged \( \mu(I) \)-rheology of Gray & Edwards (2014) and satisfies the principle of material frame indifference or objectivity. This states that the response of the material must be the same for any pair of equivalent observers, i.e. the constitutive law must be invariant under changes of frame that preserve the structure of space and time. Usually, this principle is formulated in a full three-dimensional context (e.g. Chadwick 1976), but in the depth-averaged framework it should be restricted to the downslope and lateral directions (relative to the static bed). The rigid base may cause a degree of anisotropy in the normal direction, but the effective horizontal viscosity should not have a favoured reference orientation. Chadwick (1976, p. 136) gives a necessary and sufficient condition for a viscous law to be invariant under change of frame in three dimensions. The same principle can be applied in the depth-averaged case by assuming that the depth-averaged deviatoric stress is given by a symmetric tensor-valued function \( F = F(\bar{L}) \) of the depth-averaged velocity gradient. The constitutive law (3.1) can then be written as

\[
h\bar{T} = F(\bar{L}) = \frac{1}{2} \rho \nu h^{3/2} (\bar{L} + \bar{L}^T),
\]

and the principle of objectivity requires that

\[
F(Q\bar{L}Q^T + \Omega) = QF(\bar{L})Q^T,
\]

for all \( Q \).
for all proper orthogonal tensors $Q$ and all skew-symmetric tensors $\Omega$. This is easily checked by direct substitution, i.e.

$$F(Q\tilde{L}Q^T + \Omega) = \frac{1}{2}\rho v h^{3/2}(Q\tilde{L}Q^T + \Omega + (Q\tilde{L}Q^T + \Omega)^T). \quad (3.5)$$

Using the fact that $\Omega = -\Omega^T$, it follows that the right-hand side reduces to

$$\frac{1}{2}\rho v h^{3/2}(Q\tilde{L}Q^T + Q\tilde{L}^T Q^T) = QF(\tilde{L})Q^T, \quad (3.6)$$

which confirms that the constitutive law (3.1) satisfies the principle of objectivity (3.4) in two dimensions.

Note that when the two-dimensional constitutive law (3.1) is substituted into the momentum balance (2.3), the density $\rho$ cancels out. The complete system of depth-averaged mass and momentum equations (2.2), (2.3) in component form is then

$$\frac{\partial h}{\partial t} + \frac{\partial}{\partial x} (h\tilde{u}) + \frac{\partial}{\partial y} (h\tilde{v}) = 0, \quad (3.7)$$

$$\frac{\partial}{\partial t} (h\tilde{u}) + \frac{\partial}{\partial x} (h\tilde{u}^2) + \frac{\partial}{\partial y} (h\tilde{u}\tilde{v}) + \frac{\partial}{\partial x} \left( \frac{1}{2} gh^2 \cos \zeta \right) = ghS_x$$

$$+ \frac{\partial}{\partial x} \left( vh^{3/2} \frac{\partial \tilde{u}}{\partial x} \right) + \frac{\partial}{\partial y} \left( \frac{1}{2} vh^{3/2} \left( \frac{\partial \tilde{u}}{\partial y} + \frac{\partial \tilde{v}}{\partial x} \right) \right), \quad (3.8)$$

$$\frac{\partial}{\partial t} (h\tilde{v}) + \frac{\partial}{\partial x} (h\tilde{u}\tilde{v}) + \frac{\partial}{\partial y} (h\tilde{v}^2) + \frac{\partial}{\partial y} \left( \frac{1}{2} gh^2 \cos \zeta \right) = ghS_y$$

$$+ \frac{\partial}{\partial x} \left( \frac{1}{2} vh^{3/2} \left( \frac{\partial \tilde{u}}{\partial y} + \frac{\partial \tilde{v}}{\partial x} \right) \right) + \frac{\partial}{\partial y} \left( vh^{3/2} \frac{\partial \tilde{v}}{\partial y} \right), \quad (3.9)$$

where the source terms $(S_x, S_y)$ are defined by (2.4)–(2.6) and the coefficient $v$ is given by (2.27). The constitutive law (3.1) introduces depth-integrated viscous terms into the downslope and cross-slope momentum balances (3.8), (3.9) with a coefficient of viscosity equal to $vh^{3/2}/2$. Since the coefficient $v$ is typically small, these represent a singular perturbation to the inviscid ($v=0$) case.

### 4. Steady fully-developed flows between parallel plates

Consider the set-up of figure 1, where a granular material flows over a rough base inclined at a constant angle $\zeta$ to the horizontal and is confined in the lateral direction by two parallel plates at $y = 0$ and $y = W$. Upon seeking steady uniform solutions, independent of time $t$ and downslope position $x$, conservation of mass (3.7) reduces to

$$\frac{d}{dy} (h\tilde{v}) = 0. \quad (4.1)$$

Integrating, and using the fact that $\tilde{v} = 0$ at the rigid lateral boundaries, ensures $h\tilde{v}$ is equal to zero everywhere. For non-trivial solutions it follows that

$$\tilde{v} \equiv 0, \quad (4.2)$$

throughout the flow. The $y$ component of the momentum equation (3.9) then reduces to

$$\frac{d}{dy} \left( \frac{1}{2} gh^2 \cos \zeta \right) = 0, \quad (4.3)$$
which implies that the thickness is equal to a constant,

\[ h = h_0. \]  

(4.4)

Experimental measurements (e.g. Jop et al. 2005) show a maximum in the thickness profile down the centre of the channel, but the variations are small in magnitude (Brodu et al. 2013). Similarly, the measured transverse velocities are negligible (<1% of the downslope magnitudes according to Jop et al. 2005) and so the statements (4.2) and (4.4) are plausible as leading-order approximations. With these assumptions, (3.8) reduces to a second-order ODE for the depth-averaged velocity \( \bar{\bar{u}}(y) \),

\[ \frac{d^2 \bar{\bar{u}}}{dy^2} = \frac{2g \cos \zeta}{vh_0^{1/2}} (\text{sgn}(\bar{\bar{u}})\mu_b(\bar{\bar{u}}) - \tan \zeta), \]  

(4.5)

where sgn is the sign function and ensures the friction always opposes the direction of motion. The basal friction coefficient (2.5) is now written in terms of the downslope velocity as

\[ \mu_b(\bar{\bar{u}}) = \mu_1 + \frac{\mu_2 - \mu_1}{\gamma \bar{u}_0/|\bar{\bar{u}}| + 1}, \]  

(4.6)

where the constant

\[ \gamma = \frac{\mu_2 - \tan \zeta}{\tan \zeta - \mu_1}, \]  

(4.7)

is positive for the same range of slope angles for which the depth-averaged theory is well-posed. The steady uniform depth-averaged downstream velocity \( \bar{\bar{u}}_0 \) satisfies \( \mu_b(\bar{\bar{u}}_0) = \tan \zeta \) and is given by

\[ \bar{\bar{u}}_0 = \frac{\beta \sqrt{g \cos \zeta}}{L \gamma} h_0^{3/2}. \]  

(4.8)

Note that (2.14) and (2.17) imply that this is equivalent to the depth-averaged Bagnold profile (2.19) with constant flow thickness \( h = h_0 \). This paper will consider two different boundary conditions at the sidewalls to accompany equation (4.5). The first is a no-slip condition,

\[ \bar{\bar{u}}(0) = \bar{\bar{u}}(W) = 0, \]  

(4.9)

which is appropriate for walls made of the same material as the bulk flow (Jop et al. 2006). If the plates are sufficiently smooth, it is expected that there will be a slip velocity at each boundary, inducing a stress in the material. Following Taberlet et al. (2003), the depth-integrated stress at each wall is assumed to be proportional to the depth-integrated pressure. Denoting this deviatoric stress by \( h \bar{\bar{\tau}}_w \) and assuming a lithostatic pressure distribution leads to

\[ h \bar{\bar{\tau}}_w = -\text{sgn}(\bar{\bar{u}}_w)\mu_w \int_0^h p(z) \, dz = -\text{sgn}(\bar{\bar{u}}_w)\mu_w \int_0^h \rho g \cos \zeta (h - z) \, dz, \]  

(4.10)

which may be evaluated explicitly to give

\[ h \bar{\bar{\tau}}_w = -\frac{1}{2} \text{sgn}(\bar{\bar{u}}_w)\mu_w \rho gh^2 \cos \zeta, \]  

(4.11)

where \( \bar{\bar{u}}_w = \bar{\bar{u}}(0) = \bar{\bar{u}}(W) \) denotes the slip velocity at the walls. Since the basal friction coefficient (4.6) is velocity dependent, in general one might anticipate a similar
relation for the wall friction coefficient $\mu_w$. Here, Coulomb slip is assumed for simplicity, with constant $\mu_w = \tan \zeta_w$. The effect of changing the value of $\zeta_w$ will be discussed later in this paper.

Taking $(\hat{i}, \hat{j})$ to be the standard unit vectors in the $(x, y)$ plane, the wall stress can also be written in terms of the depth-integrated stress tensor $h\hat{\tau}$, given by (3.1) and (3.2), as

$$h\hat{\tau}_w = \hat{i} \cdot (h\hat{\tau} n),$$

where $n$ is the outward facing normal. Substituting explicitly for the stress tensor and using the fact that $n = -\hat{j}$ at $y = 0$ and $n = \hat{j}$ at $y = W$ leads to

$$h\hat{\tau}_w = \begin{cases} \frac{-1}{2} \rho \nu h^{3/2} \left( \frac{\partial \hat{u}}{\partial y} + \frac{\partial \hat{v}}{\partial x} \right) & \text{at } y = 0, \\ \frac{1}{2} \rho \nu h^{3/2} \left( \frac{\partial \hat{u}}{\partial y} + \frac{\partial \hat{v}}{\partial x} \right) & \text{at } y = W. \end{cases}$$

Finally, equating the two expressions (4.11) and (4.13), and using $\hat{v} \equiv 0$ and constant flow thickness $h = h_0$ for steady uniform chute flows, gives an alternative set of boundary conditions where the velocity gradients are specified at the sidewalls,

$$\frac{d\hat{u}}{dy} = \frac{\text{sgn} (\hat{u}_w) \mu_w gh_0^{1/2} \cos \zeta}{\nu} \quad \text{at } y = 0,$$

$$\frac{d\hat{u}}{dy} = -\frac{\text{sgn} (\hat{u}_w) \mu_w gh_0^{1/2} \cos \zeta}{\nu} \quad \text{at } y = W.$$ 

Note that (4.13) holds for more general chute flows, and so may be used to derive slip boundary conditions outside of the steady uniform regimes studied in this paper.

### 4.1. Non-dimensionalisation

The steady uniform velocity $\hat{u}_0$ and channel width $W$ provide convenient length scales to non-dimensionalise the problem by introducing the scalings

$$\hat{u} = \hat{u}_0 \hat{u}, \quad y = W\hat{y},$$

where the hats denote dimensionless quantities. Substituting into the ODE (4.5) and using the expressions (2.27), (4.7) and (4.8) for simplification gives

$$\varepsilon^2 \frac{d^2\hat{u}}{d\hat{y}^2} = \frac{9}{\tan \zeta} (\text{sgn} (\hat{u}) \mu_b(\hat{u}) - \tan \zeta),$$

where the channel aspect ratio is defined as $\varepsilon = h_0/W$. The basal friction law is written in terms of non-dimensional variables as

$$\mu_b(\hat{u}) = \mu_1 + \frac{\mu_2 - \mu_1}{\gamma/|\hat{u}| + 1}.$$ 

In view of the scalings (4.16), the no-slip boundary conditions (4.9) become

$$\hat{u}(0) = \hat{u}(1) = 0.$$
Figure 2. Depth-averaged velocity profiles $\hat{u}(\hat{y})$ for different channel aspect ratios $\varepsilon$. The curves are obtained by solving (4.17) subject to no-slip boundary conditions (4.19) for a fixed slope angle $\zeta = 26^\circ$. The dashed line denotes the solution to the inviscid equations $\hat{u}(\hat{y}) = 1$. and the alternative slip boundary conditions (4.14) and (4.15) reduce to

$$\varepsilon \frac{d\hat{u}}{d\hat{y}} = \frac{9 \sgn(\hat{u}_w) \mu_w}{2 \tan \zeta} \quad \text{at} \quad \hat{y} = 0,$$

$$\varepsilon \frac{d\hat{u}}{d\hat{y}} = -\frac{9 \sgn(\hat{u}_w) \mu_w}{2 \tan \zeta} \quad \text{at} \quad \hat{y} = 1,$$

where (2.27) and (4.7) have been used to derive the coefficients. Interestingly, the non-dimensional governing ODE (4.17), basal friction law (4.18) and both sets of boundary conditions (4.19) and (4.20), (4.21) are only dependent on the aspect ratio $\varepsilon$, chute inclination $\zeta$ and frictional properties. In particular, they are independent of the physical scale of the system, meaning that the same qualitative features will be found in channels of all sizes with the same aspect ratio, slope angle in the range $\zeta_1 < \zeta < \zeta_2$ and frictional properties. Changing the flow thickness will affect the dimensional magnitudes according to the scalings (4.8) and (4.16), but the shape of the profiles will remain invariant.

Figures 2 and 3 show example numerical solutions of (4.17) that have been computed with bvp5c in Matlab. Figure 2 shows how the non-dimensional velocity profile changes as a function of the channel aspect ratio $\varepsilon$ for fixed inclination and frictional properties and no-slip conditions at the walls (4.19). For small values of $\varepsilon$, corresponding to wide, shallow channels, the sidewalls have less effect on the overall flow. In this case, the velocity is dominated by the constant $\hat{u}(\hat{y}) = 1$, or $\bar{u}(y) = \bar{u}_0$ in dimensional variables, which is the solution to the inviscid equations ($\nu = 0$). However, these constant profiles do not satisfy the no-slip boundary conditions, meaning that...
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 Boundary layers form at the walls. A simple asymptotic analysis of the governing ODE (4.17) as $\varepsilon \to 0$ reveals that the width of these boundary layers scales with $\varepsilon$. As the aspect ratio increases, so that $\varepsilon$ may no longer be considered a small parameter, the lateral walls have an effect everywhere in the channel, rather than localized at the boundaries. For these narrower flows, the profiles then resemble parabolas with approximately constant curvature across the chute width. The maximum centreline velocities decrease with increasing $\varepsilon$. Indeed, in the limit of very large aspect ratios, the leading-order behaviour of (4.17) subject to (4.19) is

$$\hat{u}(\hat{y}) \sim \frac{9}{2\varepsilon^2 \tan \zeta} (\tan \zeta - \mu_1)\hat{y}(1 - \hat{y}),$$

as $\varepsilon \to \infty$, confirming the parabolic shape. However, such large values of $\varepsilon$ correspond to much narrower channels than are typically seen in physical systems, and also violate the shallowness assumption required for depth-averaged models, and so (4.22) is of limited practical use.

The alternative slip boundary conditions are explored in figure 3, where (4.17) is solved subject to (4.20) and (4.21) for different wall-friction angles $\zeta_w$ (the aspect ratio and slope angle remain fixed in this case). In the limiting case $\zeta_w = 0$ of no wall friction, the velocity profiles are given by the constant $\hat{u}(\hat{y}) = 1$, corresponding to steady uniform solutions of the inviscid equations. As $\zeta_w$ increases, the maximum
velocity in the centre of the channel remains similar, but the slip velocity at the walls decreases, leading to more curved profiles. There is a critical amount of wall friction $\zeta_w = \zeta^*_w$ where this slip velocity first reaches zero. Beyond this point, one might anticipate negative velocities to be generated, but the ODE (4.17) and boundary conditions (4.20), (4.21) combine to automatically pin the wall velocity to zero, i.e. they select no-slip conditions at the walls (see inset of figure 3). This is surprising as we do not explicitly impose this. To see the reason for this, note that $\mu_b(\hat{u}) \leq \tan \zeta$ for $|\hat{u}| \leq 1$, so the right-hand side of (4.17) is negative irrespective of the sign of $\hat{u}$. Solutions to the ODE (4.17) therefore have the property that the velocity profiles are concave, i.e. $d^2\hat{u}/dy^2 \leq 0$. However, negative wall velocities would cause the sign functions in the boundary conditions (4.20), (4.21) to flip from positive to negative, and the velocity profiles would then necessarily have some regions of convexity, which is not allowed. With the combination of sign functions and modulus signs the solver therefore automatically selects whether a no slip (4.19) or slip (4.20), (4.21) boundary condition is applied. Consequently, for a given aspect ratio and slope angle, there will be a slip velocity if $\zeta_w < \zeta^*_w$ and no slip if $\zeta_w \geq \zeta^*_w$. The corresponding phase diagrams for different values of $\varepsilon$ and $\zeta_1 < \zeta < \zeta_2$ are shown in figure 4, with the lines where $\zeta_w = \zeta^*_w$ marking the transition between the two regimes. There is only a very weak dependence of $\zeta^*_w$ on the aspect ratio (figure 4a), whereas it is much more sensitive to changes in slope angle (figure 4b). There are singularities in the governing equations at the lower and upper slope-angle limits ($\zeta = \zeta_1$ and $\zeta = \zeta_2$, respectively) which make numerical computations difficult close to these values, and consequently the results shown in figure 4(b) do not extend across the whole range $\zeta_1 < \zeta < \zeta_2$.

It should be noted that using the full extended friction law of Pouliquen & Forterre (2002), rather than the basic form (4.18), to solve (4.17) will change the velocity profiles shown in figures 2 and 3. Close to the frictional walls, where the velocities are low, the material will be in the static or intermediate regime and the switching point will depend on the local Froude number, meaning that the system is no longer independent of scale.
5. Reconstructing the full velocity field

The full steady uniform velocity field \( u(y, z) \) in a cross-section of the channel can be reconstructed from the dimensional depth-averaged values \( \bar{u}(y) \) by assuming a vertical profile through the avalanche depth, i.e.

\[
\begin{align*}
u(y, z) &= \bar{u}(y)f(z/h_0) = \bar{u}(y)f(\hat{z}),
\end{align*}
\]

where \( \hat{z} = z/h_0 \) is the rescaled vertical coordinate and \( f \) is the vertical shape profile, which is taken to be independent of the transverse coordinate \( y \). In order to be consistent with the definition (2.1), this profile must satisfy the condition

\[
\int_0^1 f(\hat{z}) \, d\hat{z} = 1,
\]

and physically one anticipates a flow moving faster at the surface, meaning \( f \) should be a non-decreasing function. There are families of linear shear profiles satisfying these requirements, which have previously been used to reconstruct the internal velocities at the United States Geological Survey (USGS) debris flow flume (Johnson et al. 2012) and derive depth-averaged segregation equations (Gray & Kokelaar 2010a, b). GDR MiDi (2004) showed with experimental measurements and discrete element simulations that the profiles are nonlinear, with the exact form depending on the width of the chute. Jop et al. (2005) developed this idea further, deriving a width-averaged \( \mu(I) \)-rheology and solving for the velocity profile through the avalanche depth. To be consistent with the assumptions used in § 2 (in depth-integrating the deviatoric stress terms), a Bagnold profile is chosen here,

\[
f(\hat{z}) = \frac{5}{3}(1 - (1 - \hat{z})^{3/2}).
\]

The expression (5.3) may be obtained by dividing the steady-uniform Bagnold solution (2.18) by the depth-averaged Bagnold velocity (2.19). Figure 5 shows example plots of the full velocity field \( \hat{u}(\hat{y}, \hat{z}) \), reconstructed from the depth-averaged values using (5.1) and (5.3). The variables are made non-dimensional using the same scalings (4.16), together with \( z = h_0\hat{z} \) for the vertical coordinate. In figure 5(a,b) slip boundary conditions (4.20), (4.21) are imposed in a wide channel, whereas figure 5(c,d) shows solutions in a narrower channel with no-slip boundary conditions (4.19).

At this point, it is useful to define additional quantities that will be used to examine the full velocity fields in later sections. In an analogous way to the depth-averaged value (2.1), the width-averaged downslope velocity is given by

\[
u_w(z) = \frac{1}{W} \int_0^W u(y, z) \, dy = \frac{f(z/h_0)}{W} \int_0^W \bar{u}(y) \, dy.
\]

The mean downslope velocity, which is a combination of the width- and depth-averaging processes, can also be defined as

\[
u_f = \frac{1}{h_0W} \int_0^{h_0} \int_0^W u(y, z) \, dy \, dz = \frac{1}{W} \int_0^W \bar{u}(y) \, dy,
\]

where (5.1) and (5.2) have been used to simplify the double integral. The lines where \( u = u_f \) have been plotted on figure 5. Material above these lines moves downslope faster than the mean flow \( u_f \), whilst below it moves downslope slower. In experiments
and industrial applications, the flux of material through the channel is also important. The total volume flux is given by

\[ Q = \int_0^{h_0} \int_0^W u(y, z) \, dy \, dz = h_0 W \tilde{u}_f, \quad (5.6) \]

and the corresponding mass flux is

\[ M = \rho^* \Phi Q, \quad (5.7) \]

where \( \rho^* = 2550 \) kg \( m^{-3} \) is the intrinsic density of ballotini and \( \Phi = 0.6 \) is the solid volume fraction. In the inviscid case (when \( \tilde{u}(y) = \tilde{u}_0 \)), the integrals in (5.5) and (5.6) can be evaluated explicitly, giving a mass flux of

\[ M = M_0 = \rho^* \Phi h_0 W \tilde{u}_0 = \frac{\rho^* \Phi W \tilde{u}_f \sqrt{g \cos \zeta}}{\mathcal{L}_\gamma} h_0^{5/2}. \quad (5.8) \]
Whilst the internal flow characteristics are difficult to measure experimentally, surface velocity profiles, \( u_s(y) \), can be obtained (e.g. Jop et al. 2005) and compared with the new theoretical values, which are given by

\[
\begin{align*}
  u_s(y) &= \bar{u}(y)f(1) = \frac{5}{3} \bar{u}(y), \\
  (5.9)
\end{align*}
\]

6. Comparison with the full \( \mu(I) \)-rheology

The reconstructed depth-averaged values are now compared with those predicted by the full, three-dimensional \( \mu(I) \)-rheology. These equations have previously been solved for the chute-flow geometry with no-slip boundary conditions (Jop et al. 2006; Pouliquen et al. 2006), and the results have been checked for consistency against Jop et al. (2006). Here, we will generalize the problem to both no slip and Coulomb slip at the sidewalls and show that the non-dimensionalised problems in both cases are scale invariant. The (dimensional) incompressibility condition and conservation of momentum may be written in compact notation as

\[
\nabla \cdot \mathbf{u} = 0, \\
\rho \left( \frac{\partial \mathbf{u}}{\partial t} + \mathbf{u} \cdot \nabla \mathbf{u} \right) = \rho g - \nabla p + \nabla \cdot (2\eta \mathbf{D}),
\]

where \( \nabla = (\partial/\partial x, \partial/\partial y, \partial/\partial z) \) is now used to denote the gradient operator in three spatial dimensions and \( g = (g \sin \zeta, 0, -g \cos \zeta) \) is the gravitational acceleration. The strain rate \( \mathbf{D} \) is defined by (2.10) and the effective viscosity is

\[
\eta = \frac{\mu(I)p}{2 \| \mathbf{D} \|}.
\]

Defining a function \( F^s(x, y, t) = z - h(x, y, t) \) and unit normal \( \mathbf{n}^s = \nabla F^s / |\nabla F^s| \), one can impose kinematic boundary conditions at the free surface,

\[
\frac{\partial F^s}{\partial t} + \mathbf{u}^s \cdot \nabla F^s = 0,
\]

where the superscripts denote the velocity field evaluated at the top of the flow, \( z = h(x, y, t) \). A traction-free condition is also imposed at the free surface,

\[
\sigma^s \mathbf{n}^s = 0,
\]

where \( \sigma^s \) is the Cauchy stress tensor (2.8) calculated at the top of the flow. Finally, a no-slip condition is specified at the base,

\[
\mathbf{u}^b = 0.
\]

In general, there will also be a kinematic boundary condition, similar to (6.4), at the base of the flow, but the no-slip condition (6.6) and rigid base ensure it is automatically satisfied.

Now consider a simple chute-flow configuration. In the steady, fully-developed regime, the variables are independent of time \( t \) and downslope position \( x \). It is also assumed that the flow is unidirectional in the downslope direction, so solutions are sought of the form

\[
\mathbf{u} = (u(y, z), 0, 0), \quad p = p(y, z), \quad h = h(y).
\]

(6.7a–c)
With this ansatz, it is straightforward to see that conservation of mass (6.1) is automatically satisfied. The strain-rate tensor \( \mathbf{D} \) simplifies to

\[
\mathbf{D} = \begin{pmatrix}
D_{xx} & D_{xy} & D_{xz} \\
D_{yx} & D_{yy} & D_{yz} \\
D_{zx} & D_{zy} & D_{zz}
\end{pmatrix}
= \begin{pmatrix}
0 & \frac{1}{2} \frac{\partial u}{\partial y} & \frac{1}{2} \frac{\partial u}{\partial z} \\
\frac{1}{2} \frac{\partial u}{\partial y} & 0 & 0 \\
\frac{1}{2} \frac{\partial u}{\partial z} & 0 & 0
\end{pmatrix},
\]

(6.8)

meaning that the second invariant is

\[
\|\mathbf{D}\| = \frac{1}{2} \left( \left( \frac{\partial u}{\partial y} \right)^2 + \left( \frac{\partial u}{\partial z} \right)^2 \right)^{1/2}.
\]

(6.9)

From the normal component of the momentum balance (6.2), and the fact that the pressure at the free surface is zero (i.e. the normal component of the traction-free condition (6.5)), the lithostatic pressure distribution (2.15) is recovered,

\[
p = \rho g \cos \zeta (h - z).
\]

(6.10)

The transverse component of the momentum equation (6.2) gives \( \partial p/\partial y = 0 \), meaning the pressure, and consequently height, are independent of transverse position \( y \),

\[
h(y) = h_0.
\]

(6.11)

This is consistent with the depth-averaged theory (4.4). Note that a steady flow with non-uniform free surface must have non-zero transverse or normal velocities, i.e. the ansatz (6.7) cannot hold in this case. The kinematic boundary condition (6.4) is automatically satisfied, as is the transverse component of the stress-free condition (6.5). The downslope component of the traction-free condition becomes

\[
\tau_{xz} = 0,
\]

(6.12)

which needs to be treated carefully due to potential singularities at the free surface. If it is assumed that \( \|\mathbf{D}\| > 0 \) (which is true provided that \( \partial u/\partial y \) and \( \partial u/\partial z \) are not both identically zero), then the inertial number \( I \to \infty \) because the pressure is zero at the free surface. Fortunately, the function \( \mu(I) \) remains well defined and bounded, with \( \mu(I) \to \mu_2 \). The viscosity \( \eta \) is then zero when \( z = h_0 \), so the condition (6.12) is automatically satisfied. The problem reduces to solving an elliptic scalar PDE, which comes from the downslope component of the momentum equation (6.2):

\[
\frac{\partial}{\partial y} \left( \eta' \frac{\partial u}{\partial y} \right) + \frac{\partial}{\partial z} \left( \eta' \frac{\partial u}{\partial z} \right) = -\tan \zeta,
\]

(6.13)

where the rescaled viscosity \( \eta' \) is given explicitly by

\[
\eta' = \frac{\mu(I)(h_0 - z)}{\left( \left( \frac{\partial u}{\partial y} \right)^2 + \left( \frac{\partial u}{\partial z} \right)^2 \right)^{1/2}},
\]

(6.14)
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and the inertial number $I$ is

$$I = \frac{d \left( \left( \frac{\partial u}{\partial y} \right)^2 + \left( \frac{\partial u}{\partial z} \right)^2 \right)^{1/2}}{\sqrt{\Phi g \cos \zeta(h_0 - z)}}. \tag{6.15}$$

Equation (6.13) is solved subject to the no-slip boundary condition at the base of the flow,

$$u(y, 0) = 0. \tag{6.16}$$

As in the depth-averaged case, the boundary conditions at the lateral sidewalls may take different forms. The simplest is to take no-slip conditions,

$$u(0, z) = u(W, z) = 0, \tag{6.17}$$

which may be most appropriate for rough walls. For smoother walls there will be a slip velocity at the boundaries, which in turn will induce a stress. Denoting this stress as $\tau_w$ and assuming basic Coulomb slip (as in § 4) leads to

$$\tau_w = -\operatorname{sgn}(u_w) \mu_w \rho g \cos \zeta(h_0 - z), \tag{6.18}$$

where $u_w(z) = u(0, z) = u(W, z)$ is the wall slip velocity (not to be confused with the width-averaged profile (5.4)). From the governing equations, the stress at the wall must also be equal to

$$\tau_w = i \cdot (\tau n), \tag{6.19}$$

where $n$ is the outward facing normal, $i$ is the unit vector in the downslope direction and $\tau$ is the deviatoric stress tensor. Taking the unit normal to be $n = -j$ at $y = 0$ and $n = j$ at $y = W$, and using the simplified strain-rate tensor (6.8) gives

$$\tau_w = \begin{cases} -\frac{\mu(I) \rho g \cos \zeta(h_0 - z)}{2 \| D \|} \frac{\partial u}{\partial y} & \text{at } y = 0, \\ \frac{\mu(I) \rho g \cos \zeta(h_0 - z)}{2 \| D \|} \frac{\partial u}{\partial y} & \text{at } y = W. \end{cases} \tag{6.20}$$

Equating the two expressions (6.18), (6.20) leads to the boundary conditions

$$\frac{\partial u}{\partial y} = \frac{\operatorname{sgn}(u_w) \mu_w}{\mu(I)} \left( \left( \frac{\partial u}{\partial y} \right)^2 + \left( \frac{\partial u}{\partial z} \right)^2 \right)^{1/2} \text{ at } y = 0, \tag{6.21}$$

$$\frac{\partial u}{\partial y} = -\frac{\operatorname{sgn}(u_w) \mu_w}{\mu(I)} \left( \left( \frac{\partial u}{\partial y} \right)^2 + \left( \frac{\partial u}{\partial z} \right)^2 \right)^{1/2} \text{ at } y = W. \tag{6.22}$$

6.1. Non-dimensionalisation

As for the depth-averaged governing equations, the full rheology is now made non-dimensional by introducing the scalings

$$u = \bar{u}_0 \hat{u}, \quad y = W \hat{y}, \quad z = h_0 \hat{z}, \tag{6.23a−c}$$
where the hats denote dimensionless quantities. The downslope momentum balance (6.13) then reduces to

$$\varepsilon^2 \frac{\partial}{\partial \hat{y}} \left( \hat{\eta} \frac{\partial \hat{u}}{\partial \hat{y}} \right) + \frac{\partial}{\partial \hat{z}} \left( \hat{\eta} \frac{\partial \hat{u}}{\partial \hat{z}} \right) = -\tan \zeta,$$

(6.24)

where $\varepsilon = h_0/W$ is the channel aspect ratio as before and the rescaled viscosity $\hat{\eta}$ is given by

$$\hat{\eta} = \frac{\mu(I)(1 - \hat{z})}{\left( \varepsilon^2 \left( \frac{\partial \hat{u}}{\partial \hat{y}} \right)^2 + \left( \frac{\partial \hat{u}}{\partial \hat{z}} \right)^2 \right)^{1/2}}.$$

(6.25)

The inertial number $I$ is already non-dimensional, by definition, but may be written in terms of the other dimensionless variables as

$$I = \frac{2I_0 \left( \varepsilon^2 \left( \frac{\partial \hat{u}}{\partial \hat{y}} \right)^2 + \left( \frac{\partial \hat{u}}{\partial \hat{z}} \right)^2 \right)^{1/2}}{5\gamma(1 - \hat{z})^{1/2}},$$

(6.26)

where the steady uniform velocity (4.8) and the definition of $I_0$ (2.14) have been used to simplify (6.26). The no-slip boundary conditions (6.16) and (6.17) at the base and sidewalls become

$$\hat{u}(\hat{y}, 0) = 0$$

(6.27)

and

$$\hat{u}(0, \hat{z}) = \hat{u}(1, \hat{z}) = 0,$$

(6.28)

respectively, and the alternative slip conditions (6.21) and (6.22) are

$$\varepsilon \frac{\partial \hat{u}}{\partial \hat{y}} = \frac{\text{sgn} (\hat{u}_w) \mu_w}{\mu(I)} \left( \varepsilon^2 \left( \frac{\partial \hat{u}}{\partial \hat{y}} \right)^2 + \left( \frac{\partial \hat{u}}{\partial \hat{z}} \right)^2 \right)^{1/2} \quad \text{at } \hat{y} = 0,$$

(6.29)

$$\varepsilon \frac{\partial \hat{u}}{\partial \hat{y}} = -\frac{\text{sgn} (\hat{u}_w) \mu_w}{\mu(I)} \left( \varepsilon^2 \left( \frac{\partial \hat{u}}{\partial \hat{y}} \right)^2 + \left( \frac{\partial \hat{u}}{\partial \hat{z}} \right)^2 \right)^{1/2} \quad \text{at } \hat{y} = 1.$$

(6.30)

As in the depth-averaged case, the non-dimensional governing equation and boundary conditions are independent of the scale of the system, meaning the flow is determined only by the channel aspect ratio, slope angle and friction parameters. Also note the similar structure between (4.17) and (6.24), the downslope momentum balances for the depth-averaged theory and full rheology. In both equations there is an $\varepsilon^2$ term multiplying the second-order $\hat{y}$ derivatives, suggesting boundary layers that scale with the aspect ratio as $\varepsilon \rightarrow 0$.

Despite these similarities, the two approaches are not formally equivalent. If this was the case there would be separable solutions to (6.24) of the form $\hat{u}(\hat{y}, \hat{z}) = \hat{u}(\hat{y})f(\hat{z})$, where $\hat{u}$ satisfies the ODE (4.17) and $f$ is the Bagnold velocity profile (5.3). Not only do such solutions not exist, but constructing any separable solutions to the full PDE does not seem possible. Furthermore, if one assumes a Bagnold-like depth-dependence the different slip boundary conditions (4.20), (4.21) and (6.29), (6.30) are not obviously compatible. These results are not surprising because, although the depth-averaged rheology is based on the full constitutive law, the derivation is largely heuristic and therefore one would not expect formal agreement.
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Equation (6.24) is solved numerically using finite element methods and the software package FreeFem++ (Hecht 2012), and the resulting velocity fields $\hat{u}(\hat{y}, \hat{z})$ are shown on figure 6. The two regimes investigated correspond to the depth-averaged theory of figure 5, allowing comparisons to be drawn between the different theories. Slip boundary conditions (4.20), (4.21) and (6.29), (6.30) are imposed with a wall-friction angle $\xi_w = 7^\circ$ for a wide channel of aspect ratio $\varepsilon = 0.01$ (figures 5a,b and 6a,b). The mean velocities $\hat{u}_f$ (defined by (5.5) made non-dimensional with $\bar{u}_0$) show excellent agreement, with $\hat{u}_f = 0.983$ for the depth-averaged theory and $\hat{u}_f = 0.987$ for the $\mu(I)$-rheology. This suggests that the new theory is a good approximation to the full constitutive law for sufficiently shallow flows. Figures 7 and 8, showing the depth- and width-averaged velocities, provide further evidence to support this, with both sets of profiles closely coinciding with each other. There is a slight discrepancy in the sidewall slip velocities, with the depth-averaged theory predicting less slip than the full rheology. This can be seen on figures 5(b) and 6(b), showing the vertical dependence of the velocity at uniformly-spaced transverse positions. The values are identical near the centre of the channel but towards the boundaries the full $\mu(I)$-rheology produces larger slip velocities. The effect is more pronounced close
to the free surface, with figure 8 showing the full rheology width-averaged profiles taking slightly faster values in the upper regions.

A narrower channel (aspect ratio $\varepsilon = 0.1$) is shown on figures 5(c,d) and 6(c,d), where the no-slip boundary conditions (4.19) and (6.28) are now imposed at the sidewalls. The agreement is less impressive in this case, with the mean values $\bar{u}_f = 0.811$ for depth-averaged and $\bar{u}_f = 0.755$ for full rheology indicating that the new theory is overestimating the velocities in this regime. This is also apparent from the depth-averaged profiles in figure 7 and width-averaged values in figure 8. The structure near the lateral boundaries is slightly different, with steeper transverse gradients in the depth-averaged case ensuring that the frictional walls act locally and have less of an overall effect on the flow. At the centre of the channel both theories show Bagnold-like depth-dependence (2.18) (figures 5d and 6d), but, as one moves towards the walls, the full rheology profiles change dramatically and become concave in places. This behaviour is captured in figure 8, where the width-averaged profiles $\bar{u}_W(\hat{z})$ (defined by (5.4) non-dimensionalised with $\bar{u}_0$) are shown for the two theories. By construction, the depth-averaged theory gives a scaled Bagnold solution, but the full profiles are more linear in nature. Interestingly, although the surface velocities for the full rheology are slower at the centre of the channel, the width-averaged values are actually faster at the surface. This is due to the different structure near the boundaries having an impact on the overall flow characteristics.

7. Experimental results

Small-scale laboratory experiments are used to further validate the depth-averaged theoretical results. The experimental configuration consists of Perspex sidewalls
FIGURE 8. Plots of the width-averaged velocity $\tilde{u}_W(\tilde{z})$, defined by (5.4) and scalings (6.23), for the two different channels and boundary conditions shown in figures 5 and 6. Solid lines denote the reconstructed depth-averaged theory and dashed lines are steady-state solutions of the full three-dimensional $\mu(I)$-rheology.

attached to a 1.5 m long chute in such a way that the channel width $W$ may be continuously varied from 15 to 600 mm. The base of the chute is flat and roughened with one layer of glass ballotini (750–1000 $\mu$m) stuck on with double-sided tape. It can be inclined from the horizontal up to angles of $\zeta = 60^\circ$. Experiments are carried out using spherical glass ballotini (75–150 $\mu$m), released from rest using a hopper and moveable gate, allowing the inflow thickness to be varied between 1 and 15 mm. A laser profilometer records the flow thickness for a 100 mm section of chute at a distance 800 mm downstream. It may be mounted cross slope, showing a near-constant transverse thickness profile in agreement with (4.4), or along the downslope centreline to check the steady uniform nature of the flow. The thickness data is averaged in space and time to give a single value $h_0$ for each experiment.

The material is free to flow off the end of the chute where a balance measures the outflow mass flux $M$. Assuming there is no erosion or deposition once the steady state has been set up, $M$ corresponds to the mass flux through the channel itself and is given by the theoretical expressions (5.6) and (5.7). The relationship between $M$ and the flow thickness $h_0$ is calculated for a variety of chute widths and inclination angles and is shown in figure 9(a). Also shown for reference, with dashed lines, are the fluxes of the inviscid theory (5.8). Reasonable quantitative agreement is found for all experiments, although for the wider channels there is little difference between the viscous and inviscid theories and it could be argued that both fit the data equally well. This is because the small aspect ratios in these cases mean that the depth-averaged velocity profiles only differ in thin boundary layers that do not significantly contribute to the overall flux. The difference is more pronounced in narrower channels with larger aspect ratios, since the viscous velocity profiles begin
FIGURE 9. (a) Plots of mass flux $M$ (in kg s$^{-1}$) through the channel against flow thickness $h_0$ for different channel widths $W$ and slope angles $\zeta$. Solid lines denote theoretical predictions given by (5.6) and (5.7), where the velocity fields are solved assuming slip boundary conditions (4.14), (4.15) with wall-friction angle $\zeta_w = 10^\circ$. Dashed lines represent the inviscid theory (5.8). (b) The same data on a log–log scale.

to diverge from the inviscid theory, and for a channel of width $W = 15$ mm, angle $\zeta = 26^\circ$, the new equations represent a significant improvement on the inviscid case. This is highlighted in figure 9(b), which shows the same data on a log–log scale. For wider chutes the data roughly assumes a power-law relationship, with the $5/2$ gradient following from the analytic inviscid expression (5.8), whereas the narrower channels curve away from this relationship.

It is worth noting that, whilst figure 9 and the theoretical expressions plot $M$ as a function of $h_0$, the data could equally have been presented the other way round since neither quantity is explicitly imposed in the experiments. Instead, the gate height is controlled and the flow thins to a constant thickness as it leaves the hopper. This gate height also sets the mass flux through the channel, so some readers may find it more natural to invert the relationships shown in figure 9.

In addition to the laser profilometer and balance data, a high-speed camera takes images of a 5 mm transverse slice of the chute at a rate of 2000 f.p.s. Using the open source software PIVlab for MATLAB (Thielicke & Stamhuis 2014), these images are used to calculate the surface velocity profiles $u_s(y)$, which are averaged in time and downslope position. These are compared to the depth-averaged theory (5.9) and full rheology for two different channel widths and flow thicknesses and the results are shown in figures 10 and 11. Both sets of computations are calculated for slip boundary conditions with a wall-friction angle $\zeta_w = 10^\circ$, which is close to that used by Jop et al. (2005) for glass beads sliding on glass walls. For the wider channel ($\epsilon = 0.0455$), the experimental data shows a central region of near-constant velocity, with a reasonably rapid decrease to zero near the flow boundaries. This is quantitatively captured by the depth-averaged theory, which fits the data as well as, if not better than, the full rheology (figure 10). Note that this behaviour is the opposite to what was found by Jop et al. (2005), where the sidewalls were shown to have a significant effect on the whole flow, even in the widest channels. However, their experiments involved particles
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Figure 10. Surface velocity measurements $\tilde{u}_s(\hat{y})$ for a wide channel of width $W = 100$ mm, height $h_0 = 4.55$ mm (giving aspect ratio $\varepsilon = 0.0455$) and angle $\zeta = 26^\circ$ (crosses). Solid lines denote the new depth-averaged theory (5.9) and the dashed lines are the solutions of the full rheology. Both computations are calculated with slip boundary conditions and a wall-friction angle $\zeta_w = 10^\circ$. The dash-dotted lines show the depth-averaged profiles when a reduced wall-friction angle $\zeta_w = 3.3^\circ$ is used.

moving over a thicker erodible base, and the aspect ratios of the flowing layers were typically much larger than the flows considered here. One should therefore be cautious when drawing comparisons between such heap flows and the chute flows presented in this work.

The results are not as promising for a narrower geometry ($\varepsilon = 0.201$, figure 11). The mean magnitudes from the PIV agree with the depth-averaged theory but the shape of the surface velocity profiles are significantly different. In particular, the depth-averaged theory predicts that the velocity approaches zero at the sidewalls, whereas the experiments suggest a notable slip velocity that is indeed captured in the full rheology solutions. This suggests that the Bagnold profile (5.3) used to reconstruct the surface velocities may not be appropriate for narrower channels, especially near the lateral boundaries. Nevertheless, in all cases there is a curvature profile across the channel width and the viscous depth-averaged equations go some way to model this, representing a significant improvement on classical hyperbolic theory.

Note that it is possible to reduce the wall-friction angle $\zeta_w$ so that the depth-averaged surface velocities are in better agreement for the narrower channel in figure 11. Decreasing $\zeta_w$ to $3.3^\circ$ leads to greater slip velocities at the walls and a significantly improved fit, as shown by the dash-dotted line in figure 11. However, a corresponding reduction in the sidewall friction in the full $\mu(I)$-rheology increases the surface velocity and flattens the cross-slope profile (not shown), so in this case the fit is not as good as that achieved with the higher $\zeta_w = 10^\circ$. In both theories, imposing Coulomb friction at the walls essentially amounts to specifying the velocity gradients there, and since the transverse structure of the flow is different the apparent
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Figure 11. Surface velocity measurements $\hat{u}_s(\hat{y})$ for a narrow channel of width $W = 15$ mm, height $h_0 = 3.01$ mm (giving aspect ratio $\varepsilon = 0.201$) and angle $\zeta = 28^\circ$ (crosses). Solid lines denote the new depth-averaged theory (5.9) and the dashed lines are the solutions of the full rheology. Both computations are calculated with slip boundary conditions and a wall-friction angle $\zeta_w = 10^\circ$. The dash-dotted lines show the depth-averaged profiles when a reduced wall-friction angle $\zeta_w = 3.3^\circ$ is used.

wall friction needed to achieve a good fit will also differ. Figure 11 highlights the uncertainties regarding what happens at the lateral boundaries, and suggests that including a velocity dependent wall-friction coefficient may be necessary to unify the theories.

It is expected, however, that some of the discrepancies between the two theories will not be completely removed by implementing more complicated wall-friction laws. In particular, the different transverse structure in the solutions appears to be a robust feature. This difference may be partially explained by considering the relevant steps in the derivation of each model. The depth-averaged equations are based on the assumption that the basal friction coefficient is given by (2.5), a law that is derived for steady flows uniform in both the downslope and cross-slope directions. Consequently, transverse velocity gradients are not taken into consideration. Whilst it has been shown that the law is able to accurately model granular flow configurations outside of the initial remit (e.g. Pouliquen 1999b), these transverse gradients are particularly significant in narrow channels, and so (2.5) may not be strictly valid here. The full $\mu(I)$-rheology, on the other hand, uses the inertial number $I$ defined by (2.12), to include the effect of cross-slope velocity gradients. The viscous terms in the depth-averaged equations are closely related to this rheology but only in the steady uniform regime where cross-slope derivatives are once again neglected.

As a final note, figures 9–11 show how varying the channel width affects the mass flux and surface velocity profiles, with the lateral sidewalls becoming more significant in narrower channels. This behaviour was also noticed during laboratory experiments. Slopes angles and gate heights that gave rise to steady uniform flows for wide chutes...
produced decelerating flows or even no flow at all as the channel width was decreased, meaning the frictional walls play an important role on the flow characteristics.

8. Discussion and conclusions

This paper presents a two-dimensional depth-averaged $\mu(I)$-rheology for shallow granular free-surface flows. It generalizes the one-dimensional version (Gray & Edwards 2014) in a natural manner, ensuring the resulting tensor constitutive law is frame-invariant in a two-dimensional depth-averaged framework. In their most general form, the governing equations have the potential to model several different granular flow phenomena and geometries. Here, they are solved for the test case of a steady flow between two parallel plates. Previous two-dimensional inviscid models give constant velocity profiles across the channel width, whereas the new equations admit more realistic curved solutions that account for wall-friction effects. By scaling the cross-slope coordinate on the width of the channel and the downslope velocity on the one-dimensional steady uniform solution, a one-dimensional ODE (4.17) together with no-slip (4.19) and slip boundary conditions (4.20), (4.21) are formulated which are independent of scale. The only controlling parameters are the channel aspect ratio, the slope inclination and the frictional properties of the grains and the chute.

The solutions tend to the inviscid value in the small aspect ratio limit (wide channels), with boundary layers forming at the sidewalls, while for large aspect ratio (narrow) channels the profiles are parabolic in nature with near-constant curvature, as shown in figure 2. Figure 3 shows how the profiles change for different wall-friction angles. If the walls are frictionless the inviscid solution is recovered. However, as the friction angle increases, the wall slip velocity is reduced, and is equal to zero at a critical wall-friction angle $\zeta_w^*$. Above this value, the concavity of the ODE and sign functions of the velocity in the boundary conditions prevent negative slip and, interestingly, lead to solutions that are equivalent to applying no-slip boundary conditions, as shown in the inset plot in figure 3.

When the Bagnold solution for one-dimensional steady uniform flows is used to reconstruct the downslope velocity profiles with depth, reasonable quantitative agreement is found with solutions of the full $\mu(I)$-rheology for both wide and narrow channels, although the agreement is significantly better in the former. This provides justification for the simplifying assumptions, allowing more straightforward equations to be used to obtain similar results in this geometry. Note that when made non-dimensional appropriately the PDE for the full $\mu(I)$-rheology (6.24) together with either no-slip (6.28) or slip boundary conditions (6.29), (6.30), are also scale invariant, which is an interesting parallel to the depth-averaged case.

The relationship between flow thickness and mass flux is investigated for a range of channel widths and slope angles, and good agreement is found between experimental data and the depth-averaged theory. For wide chutes, the difference between viscous and inviscid curves is sufficiently small to argue that both fit the experimental data equally well. However, the two curves begin to diverge in narrow chutes as the flow thickness increases and wall effects play a significant role. In this case, the reduced fluxes of the depth-averaged viscous model are noticeably closer to the measured values.

A similar improvement in the flux-thickness curves could be made by using width-averaged models (e.g. Greve & Hutter 1993; Taberlet et al. 2003) to capture the increased wall-friction effects in narrow chutes. However, the specific details of the velocity profiles in the transverse direction are lost during the width-averaging
process, and so these models can only predict a constant value for the velocity. The new viscous theory, however, admits more realistic curved solutions and therefore represents a significant improvement. This is confirmed using PIV to measure the surface velocity profiles for channels with different aspect ratios. In all cases there is clear lateral variation, providing justification for including the additional viscous terms that give rise to these profiles. The agreement between experiments and depth-averaged theory is much better for wider channels, which is consistent with the shallowness assumption used in deriving the governing equations.

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